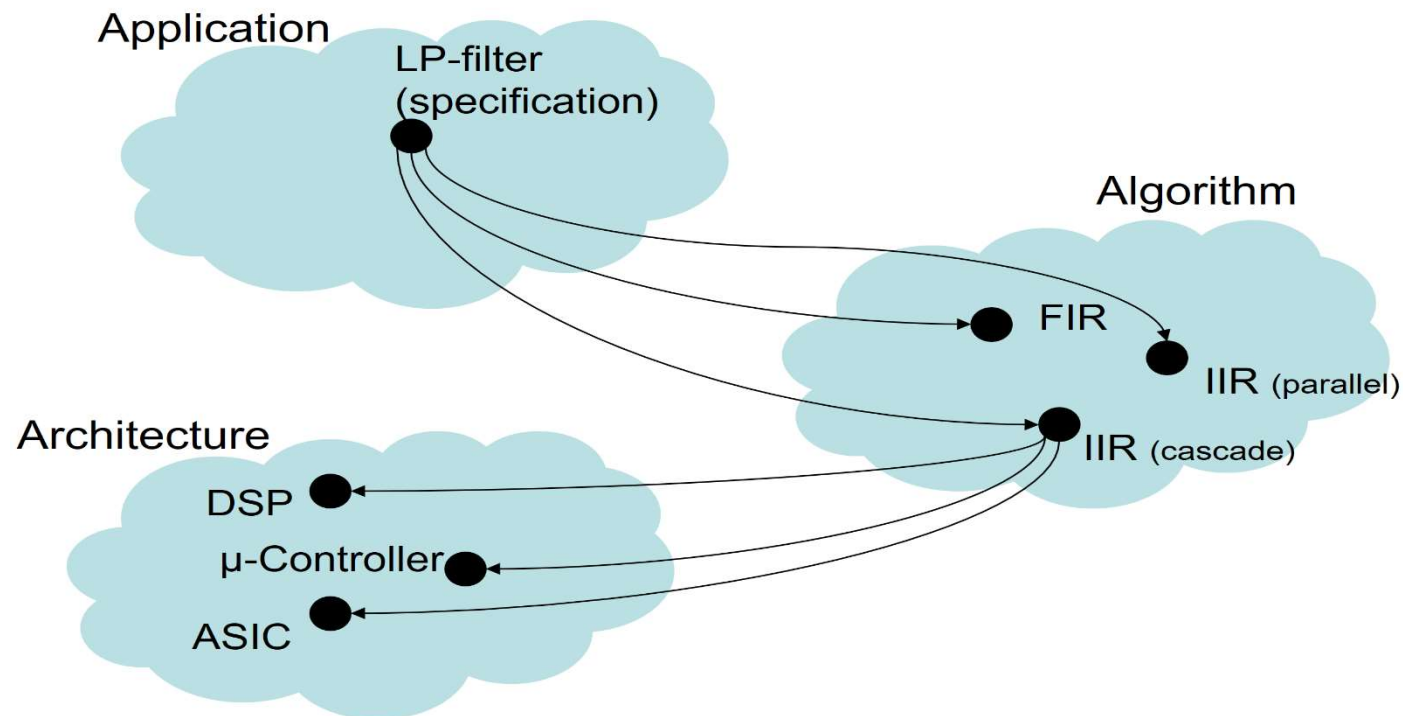

IEE 1711: Applied Signal Processing

Professor Muhammad Mahtab Alam (muhammad.alam@taltech.ee)
Lab Instructor: Julia Berdnikova

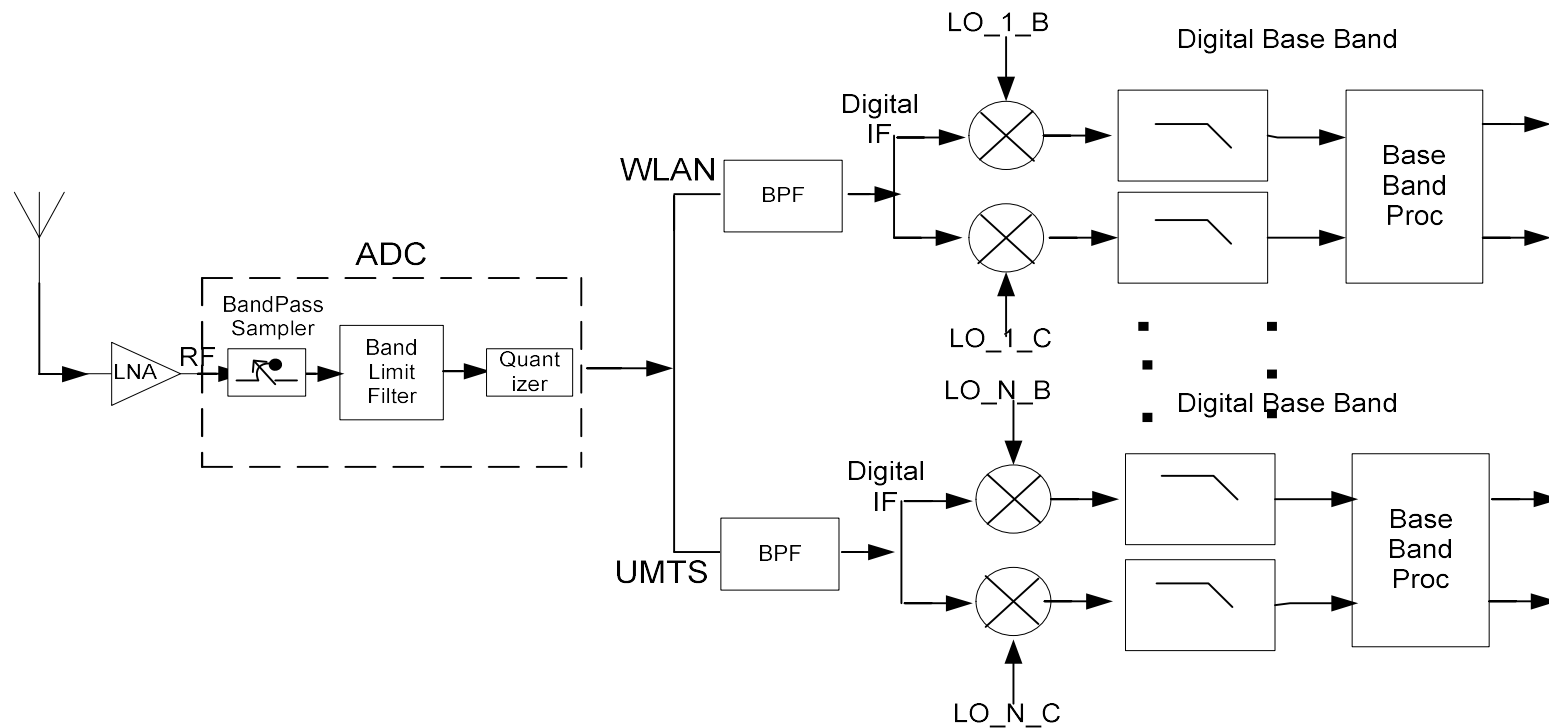


Outline

- **Lecture 12:** Software Defined Radio Architectures – Bandpass sampling
 - **Brief Followup**
- Lecture 12: SDR Cont...
 - Channelizer's design for SDR
 - Selection of Channelizer
 - Polyphase Channelizer
 - Computational Complexity
 - Summary
 - Source: „*Digital Receivers and Transmitters Using Polyphase Filter Banks for Wireless Communications*“

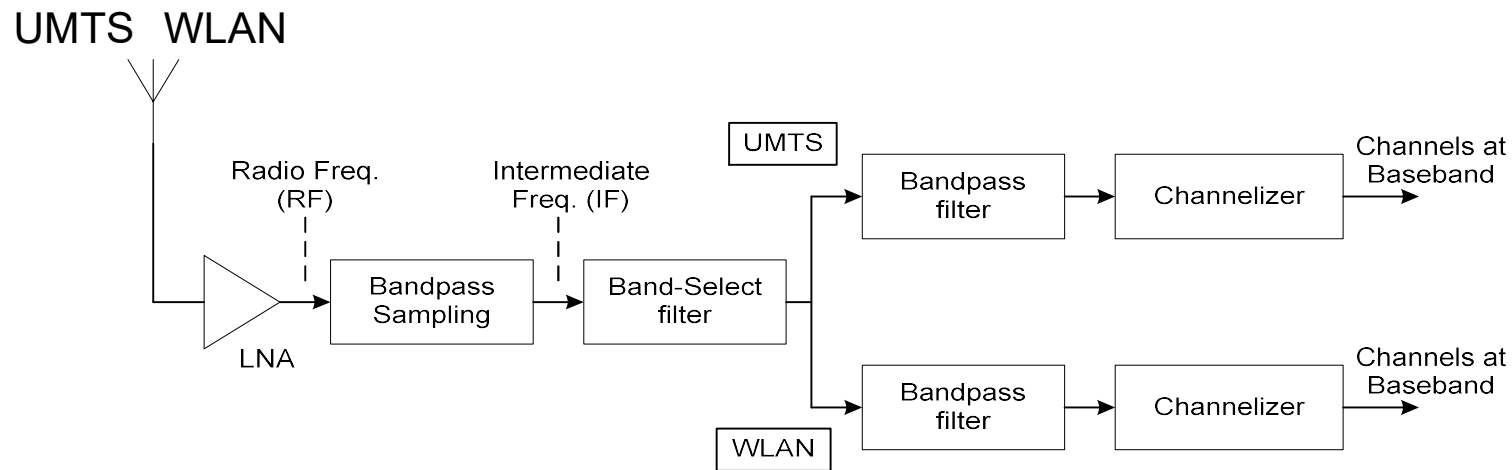
Proposed Software Radio Receiver Architecture

- Digital RF front end
- Digital IF (Decimation & Downconversion)

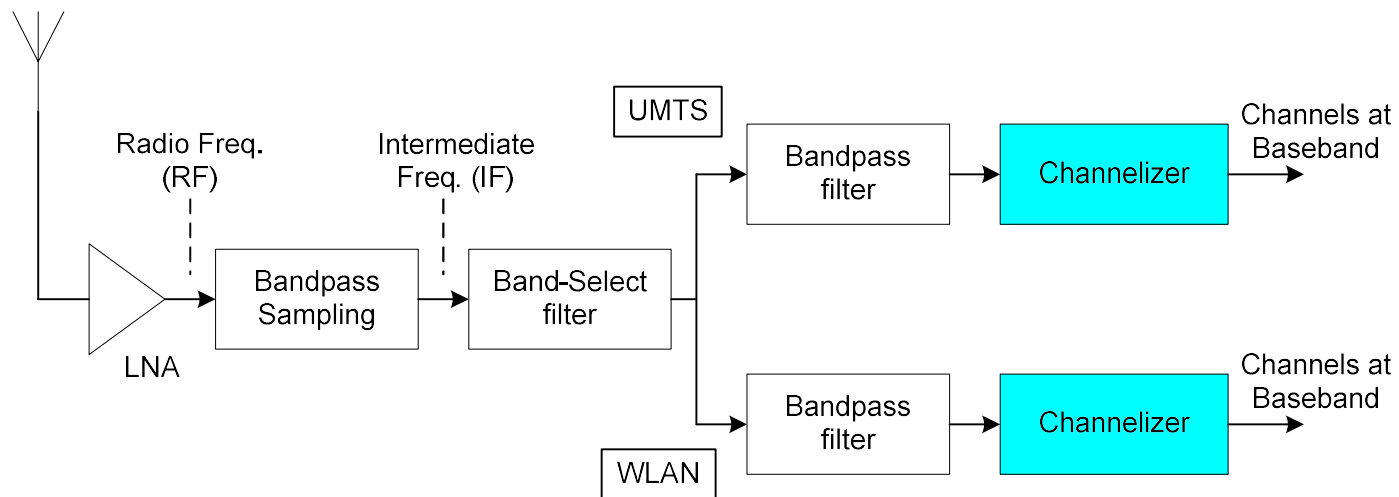


Multi-Standard Software Radio Receiver

System Block Diagram

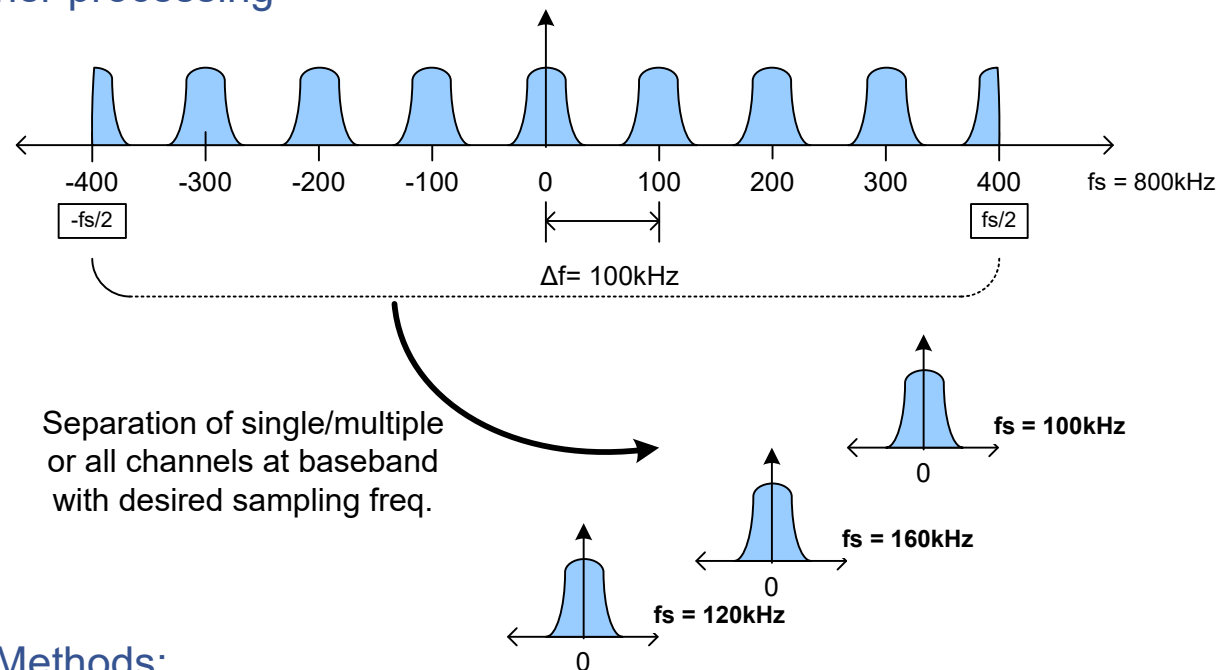


Channelizers



Channelization

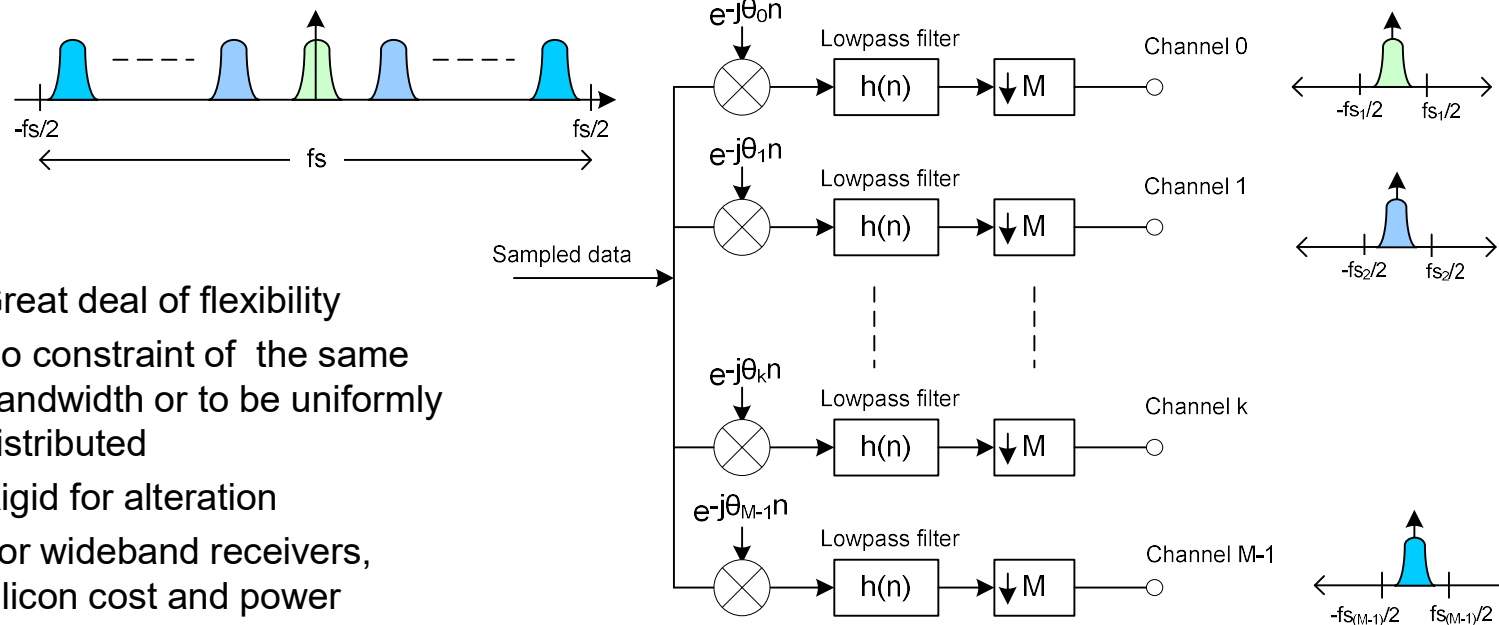
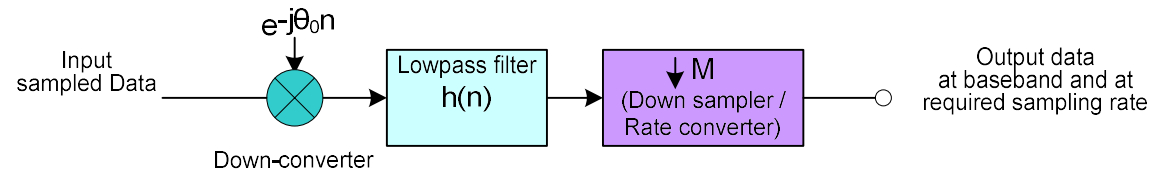
A process where a single, few or all channels from a certain frequency band are separated for further processing



Channelization Methods:

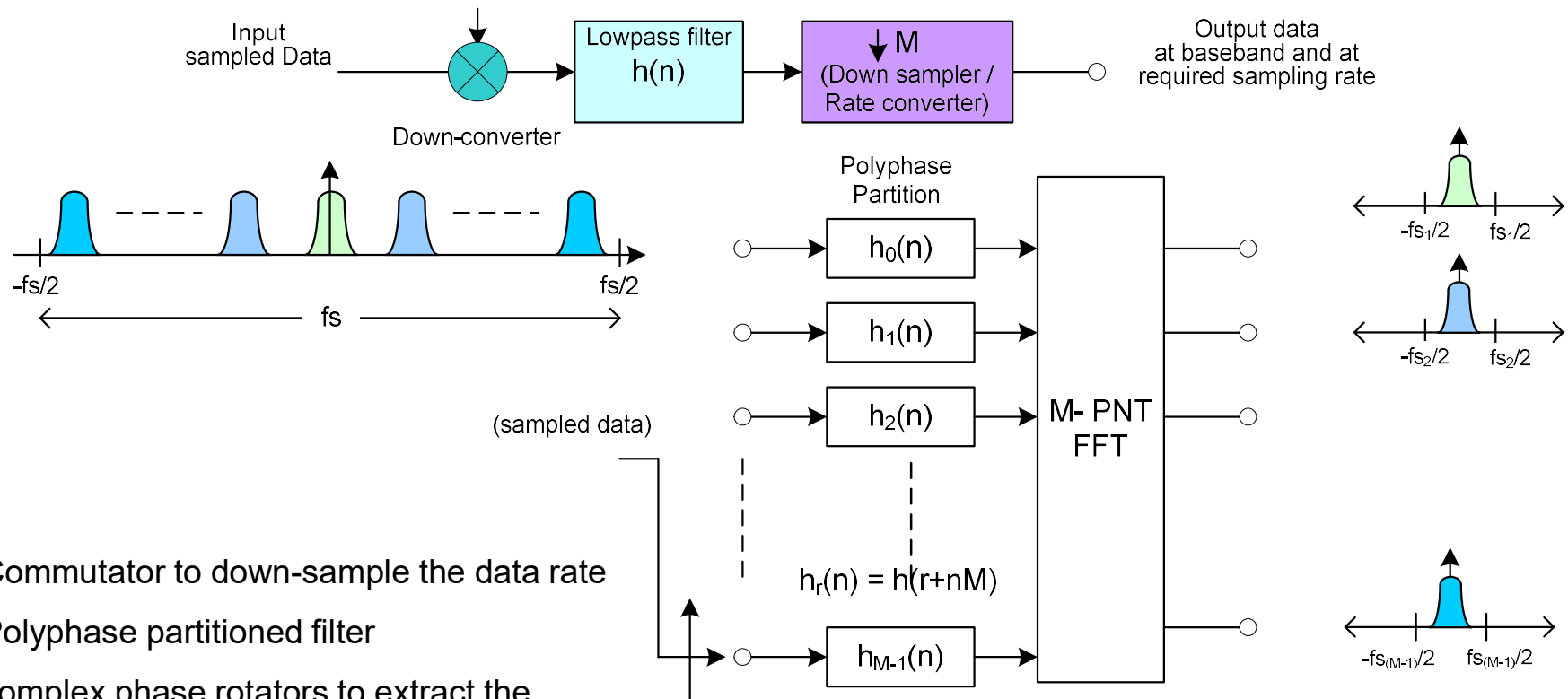
- Per channel Approach (Straight forward way)
- Pipelined Frequency Transform
- Polyphase FFT

Per Channel Approach



- Great deal of flexibility
- No constraint of the same bandwidth or to be uniformly distributed
- Rigid for alteration
- For wideband receivers, silicon cost and power consumption are extremely high

Polyphase Channelizer



- Commutator to down-sample the data rate
- Polyphase partitioned filter
- complex phase rotators to extract the individual channels
- Polyphase parameters
 - Input sampling frequency(fs)
 - Inter-carrier spacing (Δf)
 - Number of channels (M)

Pipelined Frequency Transform

- Based on **binary tree** of down-converter and sample-rate converter
- Divide the **input band into two halves** with half sampling rate
- Again split each half band into two sub-bands, and so on un-till the last tree level produces the **required seperated channels**
- **More expensive** in term of silicon area, because of many more single channel channelizer requirements
- **Less flexible** as it require channels to be equal bandwidth and uniformly distributed.

Comparison & Selection

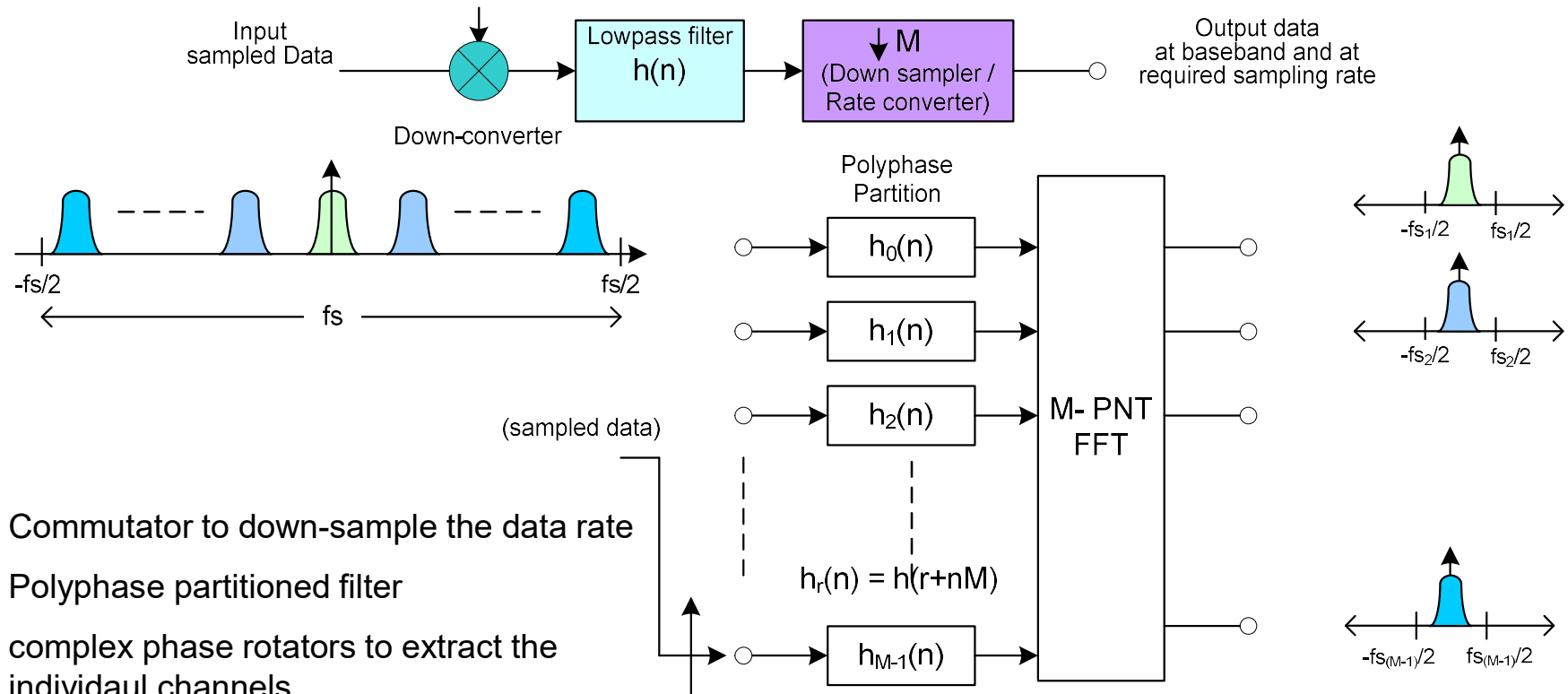
Aspects		Channelizer Algorithms		
		Per Channel	Pipelined Frequency Transform	Polyphase FFT
Computational Complexity for high number of channels		Poor	Good	Excellent
Silicon Cost Efficiency		Upto 3-20 channels	Upto 128-256 channels	256 channels & above
Initial Design Flexibility	Independent Channels	Yes	No	No
	Number of Channels	selectable	2^{INT}	Preferable 2^{INT}
	Intermediate outputs	No	Yes	No
Flexibility for reconfiguration (Addition & Removal of Channels)		Excellent	Poor	poor

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(Faculty of Electrical Engineering, Mathematics and Computer Science)

Per-channel approach wins in many aspects, but its implementation for high number of channels is infeasible

Polyphase channelizer is most suitable for SDR wideband channelizer front end

Polyphase Channelizer



- Commutator to down-sample the data rate
- Polyphase partitioned filter
- complex phase rotators to extract the individual channels
- Polyphase parameters
 - Input sampling frequency(fs)
 - Inter-carrier spacing (Δf)
 - Number of channels (M)

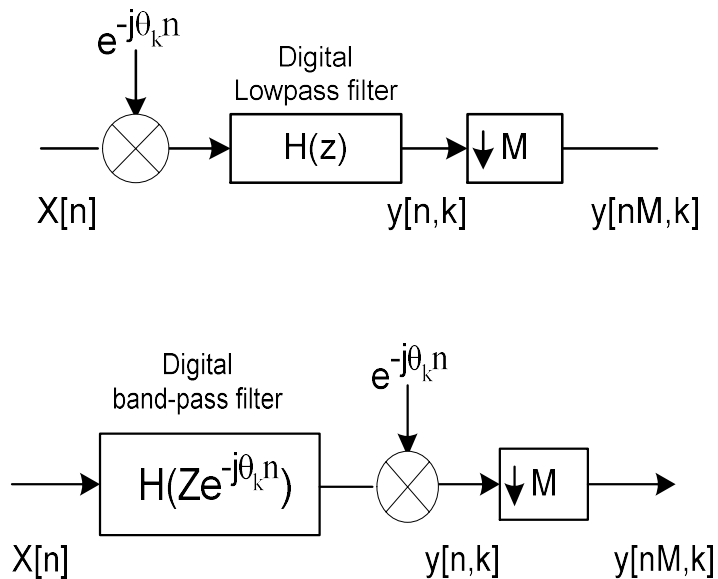
Polyphase Channelizer Transformation



Transformation (Step 1)

Equivalence Theorem:

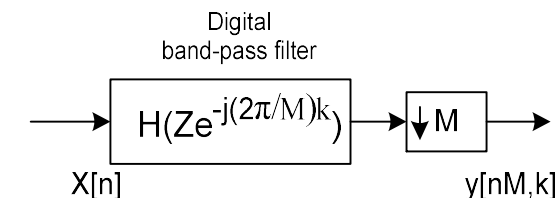
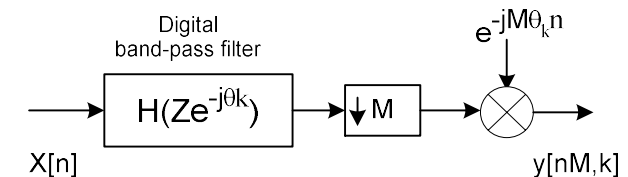
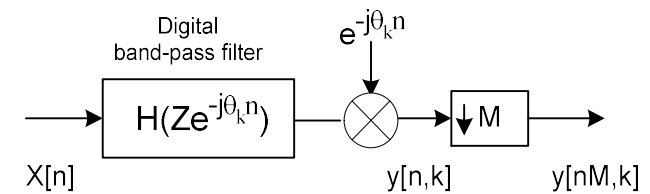
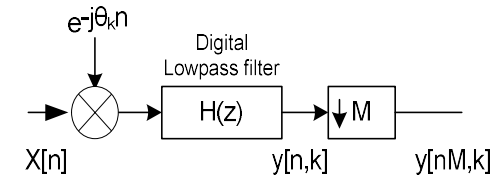
”Operation of down-conversion, followed by a LPF are totally equivalent to the operation of BPF followed by a down conversion”



$$\begin{aligned}
 y(n, k) &= [x(n)e^{-jn\theta_k}] * h(n) \\
 y(n, k) &= \sum_{r=0}^{N-1} x[n-r]e^{-j\theta_k(n-r)}h(r) \\
 &= \sum_{r=0}^{N-1} x[n-r]e^{-jn\theta_k}h(r)e^{jr\theta_k} \\
 &= e^{-jn\theta_k} \sum_{r=0}^{N-1} x[n-r]h(r)e^{jr\theta_k}
 \end{aligned}$$

Equivalence Theorem & Sequence of maneuvers

- Slide the input heterodyne through the lowpass filter to their output
- By doing so, it converts the lowpass filter to a complex bandpass filter
- Slide the output heterodyne to the downside of the down-sampler
- Doing so, it aliases the centre frequency of the oscillator
- Restrict the centre frequency of bandpass to be a multiple of the output sample rate.
- Doing so, assure aliases of the selected passband to the baseband by re-sampling operation
- Discard the un-necessary heterodyne

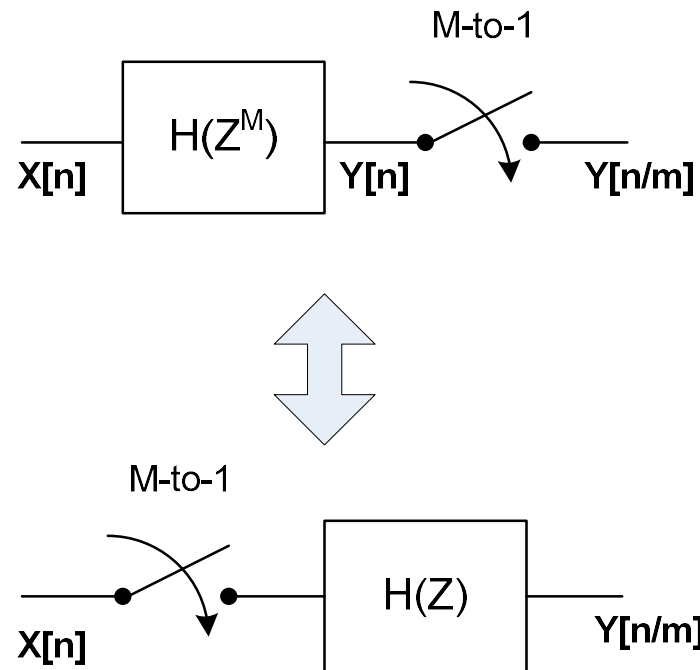


Note: θ_k should be an integer multiple of $(2\pi/M)$

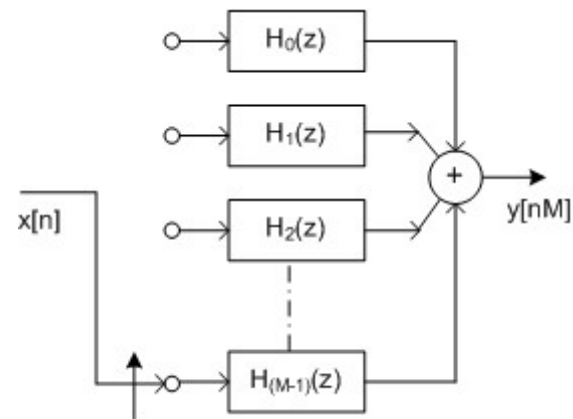
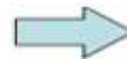
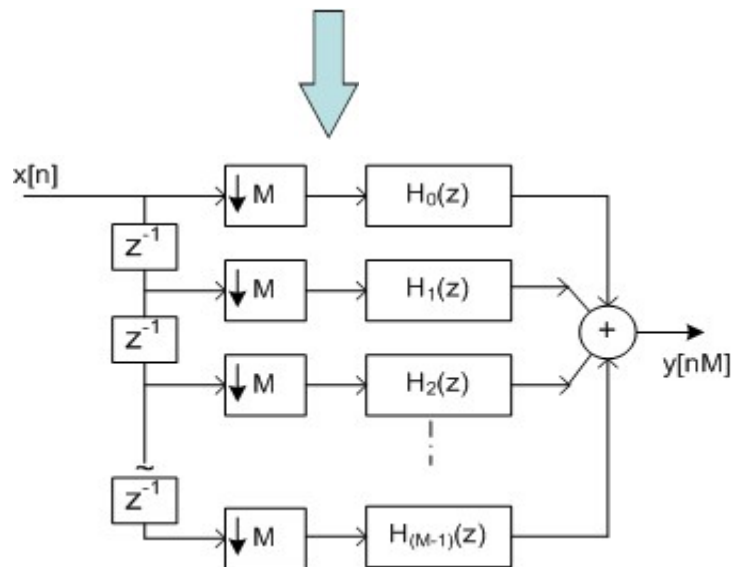
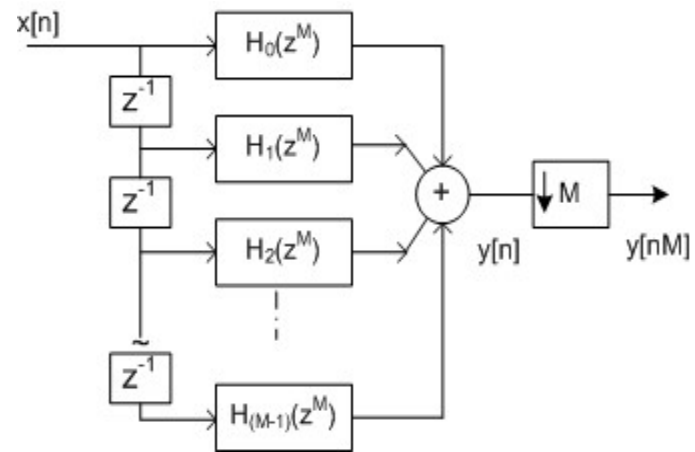
Transformation (Step 2)

Noble Identity:

” A filter processing every Mth input sample followed by an output M-to-1 down sampler is the same as an input M-to-1 down sampler followed by a filter processing every Mth input sample”



Transformation (Step 2)



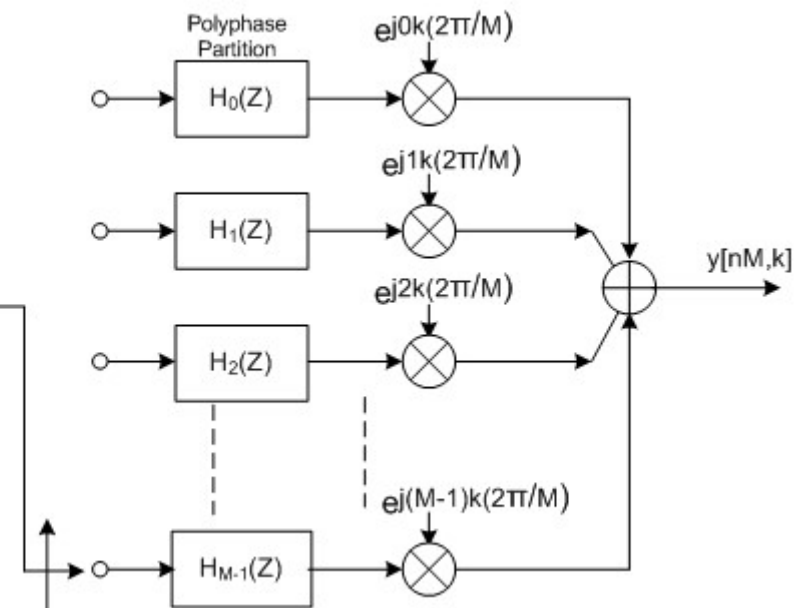
Transformation (Step 2)

$$H(z) = \sum_{n=0}^{N-1} z^{-n} h(n)$$

$$G(z) = H(z) \big|_{z=e^{j\theta}z} = H(e^{-j\theta}z)$$

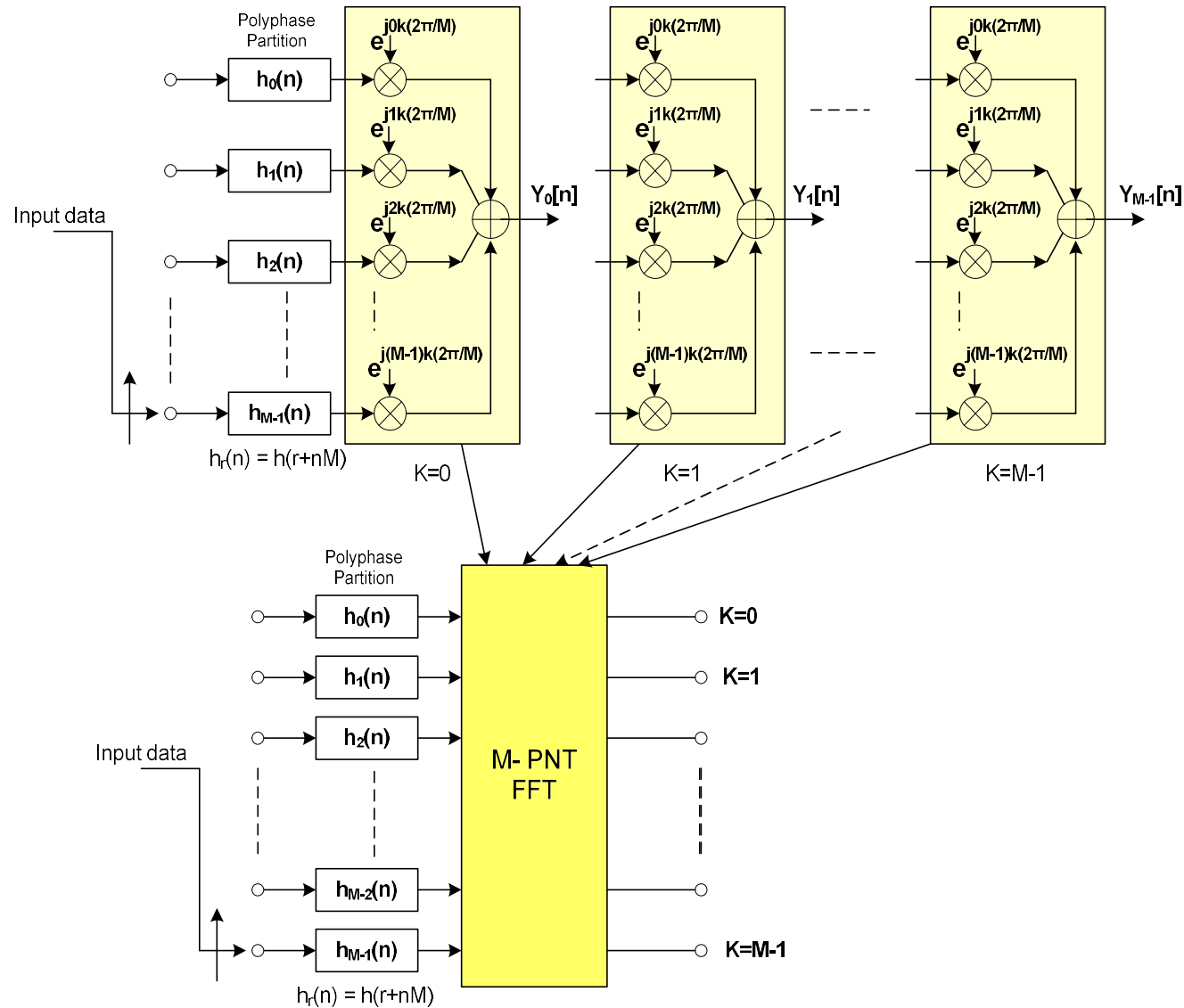
$$H(ze^{-j(2\pi/M)k}) = \sum_{r=0}^{M-1} z^{-r} e^{j(2\pi/M)rk} H_r(z)$$

$$y(nM, k) = \sum_{r=0}^{M-1} y_r(nM) e^{j(2\pi/M)rk}$$

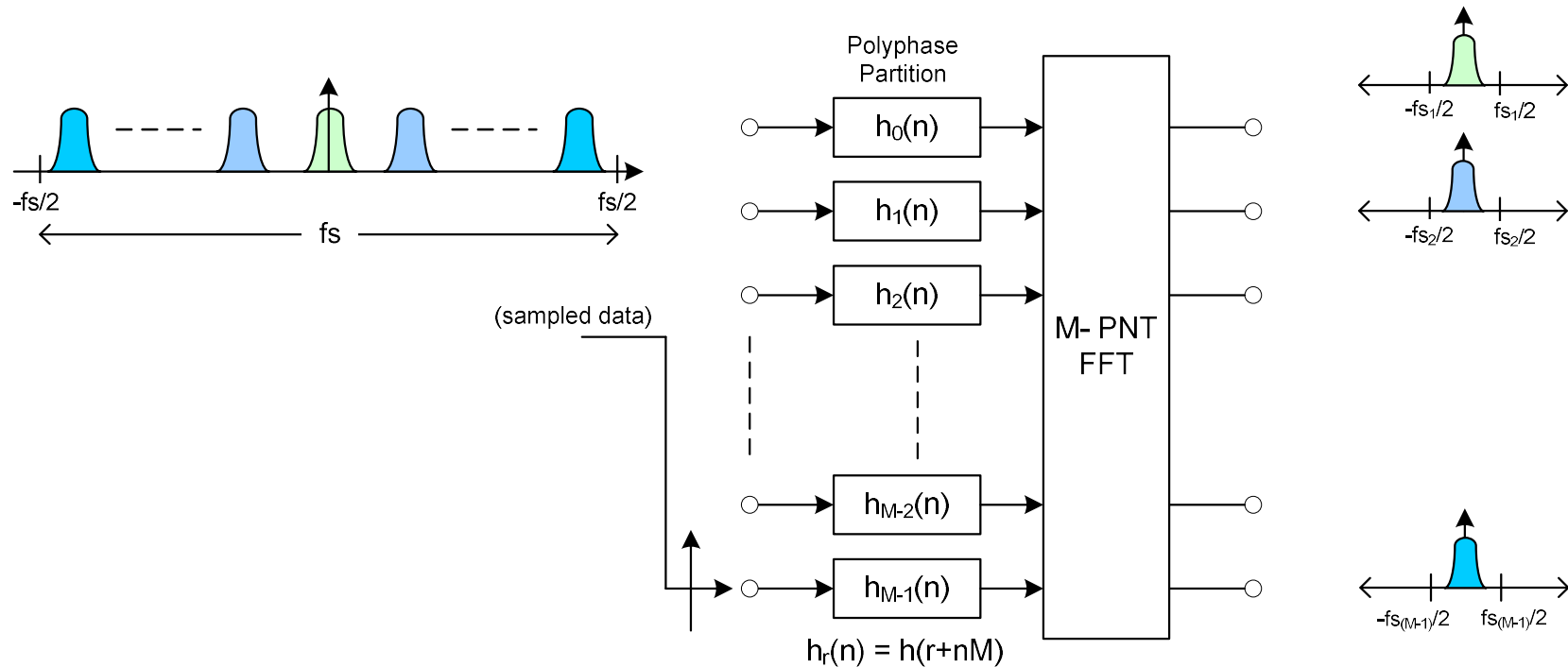


Re-sampling M-Path down converter

Phase Coherent summation & FFT



Polyphase Channelizer



- Commutator to down-sample the data rate
- Polyphase partitioned filter
- Complex phase rotators to extract the individual channels is equivalent to the M point FFT operation.

Polyphase filter bank parameters

Sampling Frequency, Number of Channels, Spectral Spacing, Output Sample Rate.

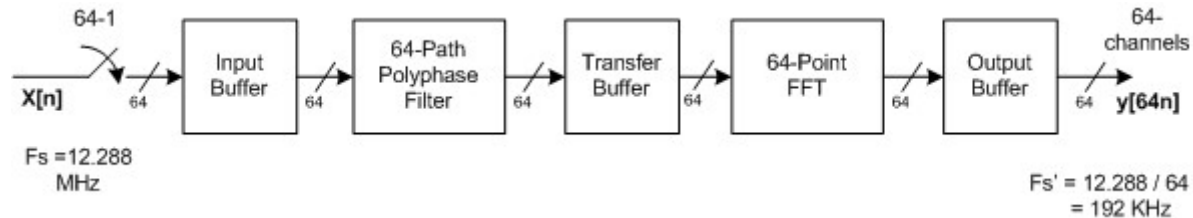
$$f_s = N * \Delta f$$

- DFT performs the task of separating the channels after polyphase filter, so it is natural to conclude that **transform size is equal to number of channels**.
- **The filter bandwidth is determined by the weights of the lowpass prototype filter, and it is common for all the channels.**
- Channelizer is used to separate the adjacent communication channels, which are characterized by the **specific center frequency and non-overlapping bandwidth**

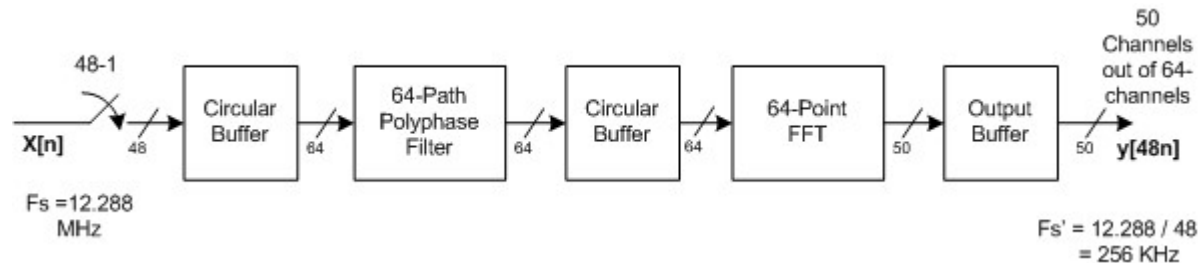
Maximally & Non-Maximally Decimated System

”A system is said to be Maximally Decimated when the output sample rate is equal to the inter-channel spacing otherwise it is non-maximally decimated system ”

Maximally Decimated



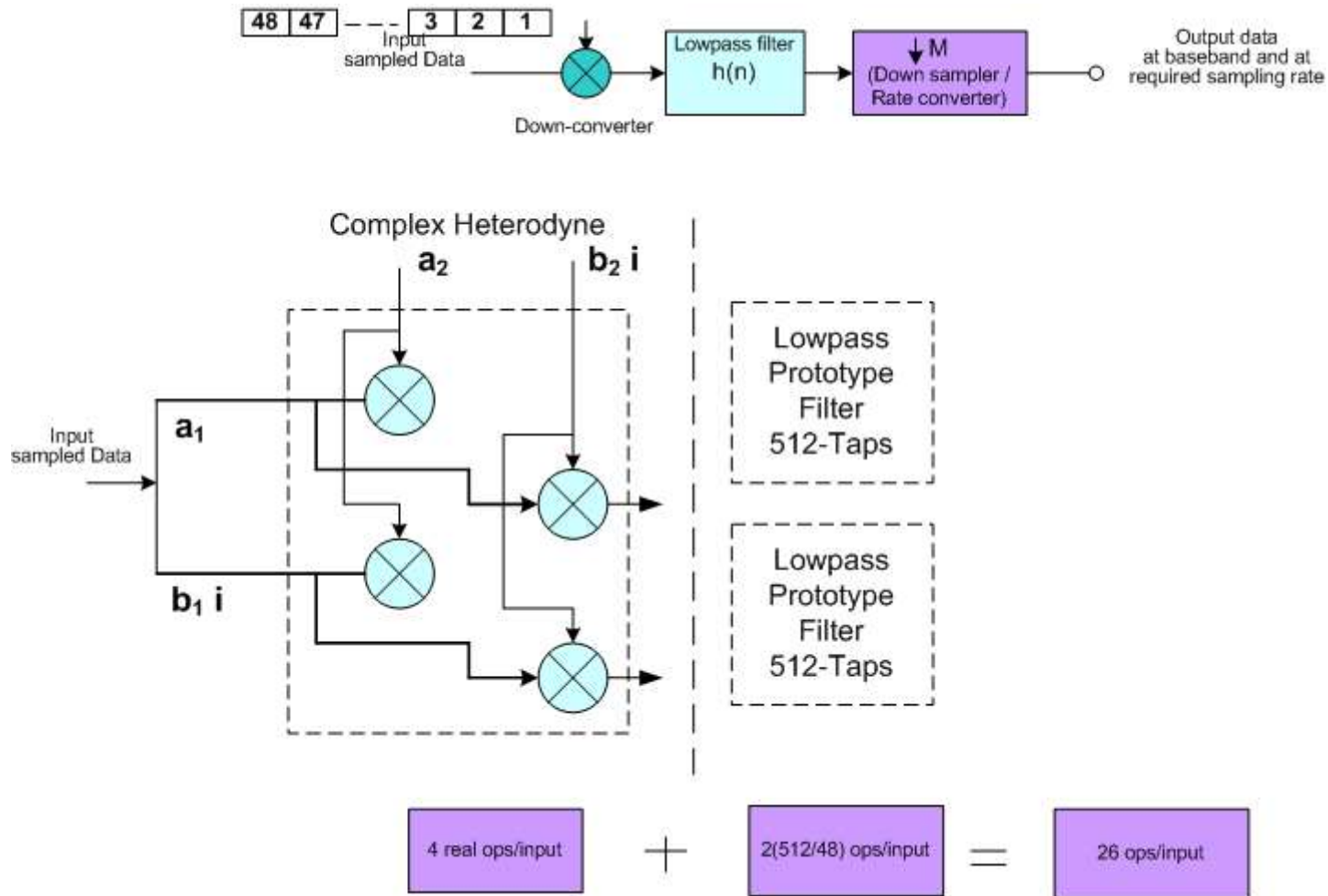
Non-Maximally Decimated



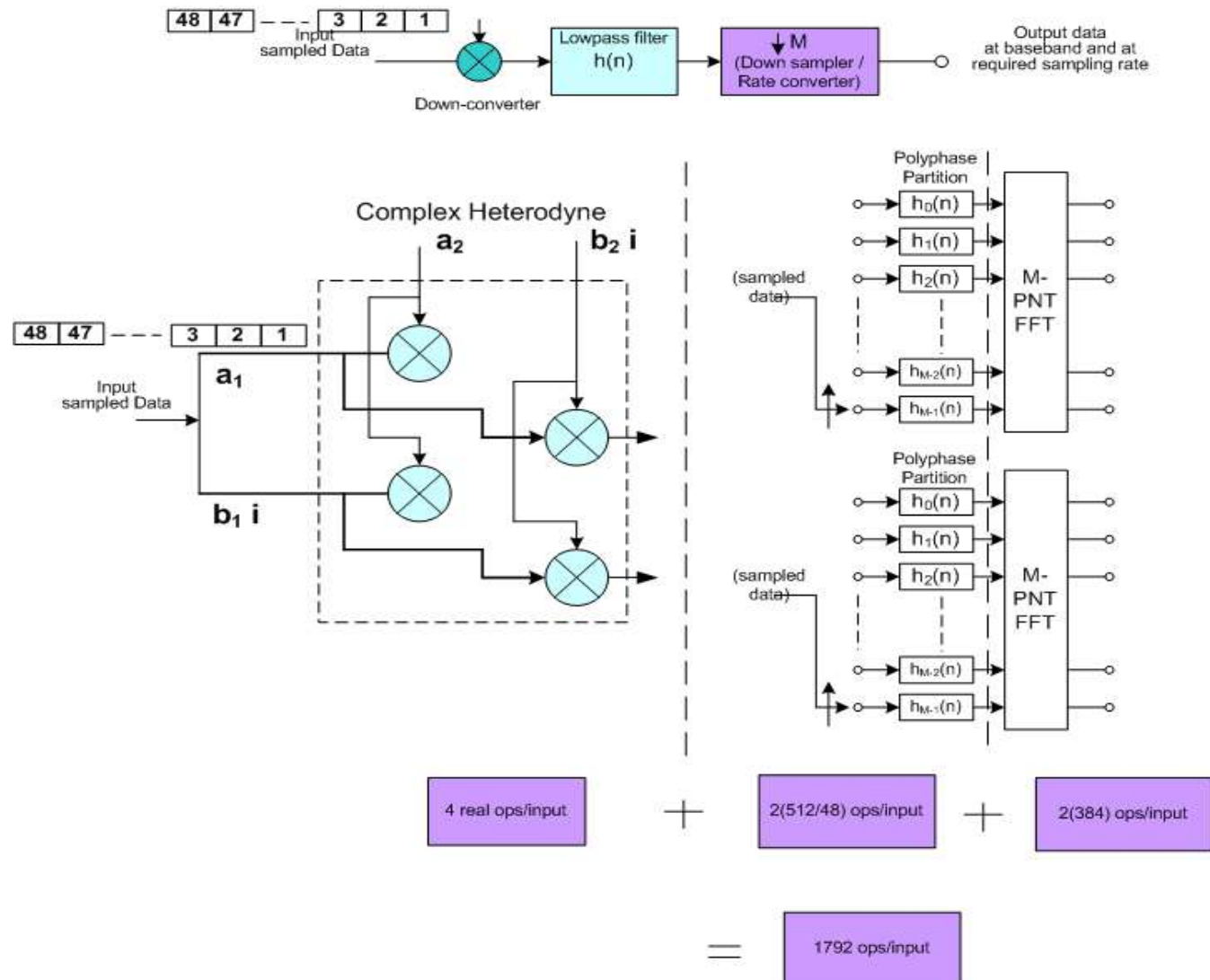
Computational Complexity

$$\begin{aligned}\text{Work Load} &= \text{Filter Length} / \text{Sample Ratio} \\ &= (\text{no.of ops/output}) / (\text{input/output}) \\ &= \text{no.of ops} / \text{input}\end{aligned}$$

Prototype Filter Work Load



Polyphase Filter Work Load



Computational Complexity

Does It make sense to use Polyphase filter for the Channelization ???

Yes



Computational Complexity

1792 ops. **per 48 input**

work load = $1792/48 = 38$ ops/input.

Note:

Polyphase channelizer forms 64 channels at the output and therefore, we conclude that polyphase form should be used even if just few output channels are required.

Aspects		Channelizer Algorithms		
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